



2171 #2

Attorney Docket No. NTWK015/00US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Green *et al.*

Serial No.: 09/935,635

Examiner: (To Be Assigned)

Confirmation No.: 1384

Art Unit: 2171

Filed: August 24, 2001

For: **Systems And Methods For Making Electronic Files That Have Been  
Converted To A Safe Format Available For Viewing By An Intended  
Recipient**

Commissioner for Patents  
Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form  
PTO/SB/08 for the above-identified patent application.

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- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. \_\_\_ in the amount of \$\_\_\_ for the total fee is attached.
- ☐ Please charge \$\_\_\_ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

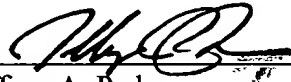
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: October 31, 2001

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Respectfully submitted,  
**COOLEY GODWARD LLP**

By:

  
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Jeffrey A. Pade  
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Attorney Docket No. NTKW015/00US

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)

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In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,

Applicant(s) hereby submits the following information in conformance with 37 C.F.R.  
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08 are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) \_\_\_ listed on the attached Form PTO/SB/08 were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. \_\_\_ and mailed on \_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. English language publication \_\_\_ (copy enclosed) claims priority from this non-English publication.

- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_ cited in the attached Form PTO/SB/08.
- ☒ Enclosed is a copy of co-pending patent Application Serial No. 09/935,634 filed August 24, 2001.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

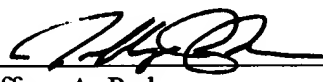
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08 to the undersigned.

Dated: October 31, 2001

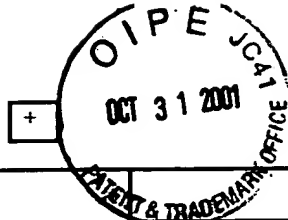
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Respectfully submitted,  
**COOLEY GODWARD LLP**

By:

  
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Jeffrey A. Pade  
Reg. No. 42,970

Please type a plus sign (+) inside this box →



PTO/SB/08A (08-00)

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<i>Complete if Known</i>		
		Application Number	09/935,635	
		Filing Date	August 24, 2001	
		First Named Inventor	Green <i>et al.</i>	
		Group Art Unit	2171	
Examiner Name	(To Be Assigned)			
Sheet		of	Attorney Docket Number	NTWK015/00US

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		"Outside In Viewer Technologies", <a href="http://www.intranetsolutions.com/products/developer_viewer.html">http://www.intranetsolutions.com/products/developer_viewer.html</a> May 2001, p.1, IntraNet Solutions, Inc.	
		"Outside In Viewer Technology Developer Technology Fact Sheet, Industry Leading Viewing Capabilities For Desktop Application Developers", Business Content Management, May 2001, pp. 1-2, IntraNet Solutions.	
		"Outside In Viewer Technology Specifications, Supported Platforms and File Formats", Business Content Management, May 2001, pp 1-2, IntraNet Solutions.	
		"Outside In Content Access, Developer Technology Fact Sheet, Unparalleled Access To Information Stored In Unstructured Business Content", May 2001, pp. 1-2, IntraNet Solutions.	
		"Outside In Viewer Technology, Developer Technology Fact Sheet, Leading Viewing Technology For Mobile And Wireless Environments", May 2001, pp. 1-2, IntraNet Solutions.	
		"Outside In Content Access Specifications, Supported Platforms and File Formats", Business Content Management, May 2001, pp.1-7, IntraNet Solutions.	
		"GroupShield Exchange For Microsoft Exchange 2000, Administrator's Guide", January 2001, pp. 1-271, Version 4.5, Network Associates Technology, Inc.	
Examiner Signature			Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.